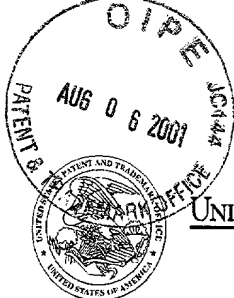


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,464	03/21/2001	Andrew Daiber	NUFO 001

CONFIRMATION NO. 4117

FORMALITIES LETTER



OC000000006172839

Robert C. Hall
BOZICEVIC, FIELD & FRANCIS LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025

Date Mailed: 06/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/08/2001 BNGUYEN1 00000110 500815 09814464

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 CH
02 FC:105 130.00 CH
03 FC:103 684.00 CH
04 FC:102 320.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1040.
 - \$720 for 40 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1880.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Seble

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type) Wilhelm A. Palmen Jr. Signature *Wilhelm A. Palmen Jr.* Date 07-31-2001

Combined Transmittal and Fee Calculation Sheet

Application Number 09/814,464
 Confirmation Number 4117
 Filing Date March 21, 2001
 First Named Inventor Daiber, A.
 Examiner Unassigned
 Group Art 2881
 Attorney Docket No. NUFO001

☐ Small Entity ☒ Large Entity

ENCLOSED:

☒ Amendment Under Rule

☒ 37 CFR § 1.115
☒ Pages 3

Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
Total	<u>58</u>	<u>20</u>	<u>38</u>	<u>18</u>	<u>\$ 684.00</u>
Independent	<u>7</u>	<u>3</u>	<u>4</u>	<u>80</u>	<u>\$ 320.00</u>
Multiple					
Total Extra Claim Fees					<u>\$ 1,004.00</u>

☐ Extension of time from _____ to _____ Fee _____

☒ Response to File Missing Parts (with copy of formalities letter)

☒ Filing Fee Fee \$710.00
☒ Executed Declaration Pages 3 Surcharge Fee \$130.00
☒ Other Submission of Formal Drawings (17 SHEETS TOTAL) Fee \$ -
 _____ Fee _____
 _____ Fee _____
 _____ Fee _____
 Subtotal \$840.00

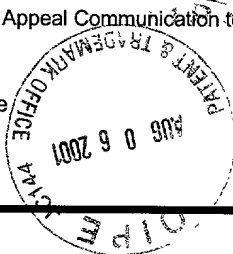
☒ Information Disclosure Statement

☒ PTO Form 1449 Pages 1
☒ 4 Copies of Cited References
☐ Other _____ Fee _____
 Subtotal \$0.00

☐ Response to Notice to Comply (with copy of Notice to Comply)

☐ Sequence Listing Certification
☐ Paper Copy of Sequence Listing Pages _____
☐ Diskette in computer-readable format
☐ Other _____ Fee _____

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee _____
Subtotal		\$ -
<input type="checkbox"/> Issue Fee PTO 85B		
<input type="checkbox"/> Advance copies _____		Fee
<input type="checkbox"/> Submission of Formal Drawings Transmittal with _____ sheets enclosed		Fee
Subtotal		\$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		
<input checked="" type="checkbox"/> Return Receipt Postcard		
TOTAL FEES		\$ 1,844.00



The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	Robert C. Hall		Registration No.	39,209	
Signature			Date	07-31-2001	
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200		
City	Menlo Park	State	California	zip	94025
Telephone - Direct Dial	650-833-7772	Facsimile	650-327-3231		